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(UPM-001.01)



30623

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Mark Hoffman et al.

Serial No.:

09/696,762

Filed:

October 25, 2000

For:

INVESTMENT ADVICE SYSTEMS AND METHODS

Examiner:

Not Yet Assigned

Art Unit:

2162

Commissioner for Patents Washington, DC 20231

RECEIVED

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TRANSMITTAL LETTER

Technology Center 2100

Sir:

Transmitted herewith for filing in the present application are the following documents:

[X] Revocation by Assignee and New Power of Attorney;

[X] Copy of Assignment Documents; and

[X] Postcard.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at 617/542-6000, Boston, Massachusetts.

No fee is believed due for this filing. However, if such a fee is necessary, the Commissioner is hereby authorized to charge any such fees to the undersigned's account, Deposit Account No. 50-0311, Ref. No. 24309-501. A duplicate copy of this transmittal letter is enclosed.

Respectfully submitted,

C. Eric Schulman, Esq. (Reg. 43,350)

Mintz, Levin, Cohn, Ferris, Glovsky and Popeo, P.C.

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Telephone 617/542-6000

Attorney for Applicants

Date: February 21, 2002

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